UNIVERSITY OF RAJASTHAN
JAIPUR

SYLLABUS

POST-GRADUATE DIPLOMA
IN
DEVELOPMENT & INVESTMENT BANKING

Examination 2018
DEPARTMENT OF ECONOMIC ADMINISTRATION
AND FINANCIAL MANAGEMENT

POST-GRADUATE DIPLOMA IN DEVELOPMENT AND INVESTMENT BANKING

Exam 2018

Scheme of Examination

1. A Candidate must obtain for a pass at least 25% marks in each individual paper and the monograph and 36% marks in the aggregate. The classification of successful candidates will be as follows:
   First Division 60% of the aggregate marks
   Second Division 48%
   All the rest will be declared to have passed the examination. Every candidate shall be required to offer the following six papers and each paper of three hours duration carrying 100 marks.

2. There will be five questions in all. The candidates are required to attempt all the question. There will be one question from each unit with an internal choice (either/or).

3. Every candidate for the above diploma Examination shall be required to offer the following papers. Each theory paper shall be of 3 hours duration and carry 100 marks.

| Paper - I | Theory and Practice of Banking |
| Paper - II | Development Banking |
| Paper - III | Investment Banking & Accounts |
| Paper - IV | Marketing of Securities |
| Paper - V | Project Work and Viva-Voce |

PAPER - I : THEORY AND PRACTICE OF BANKING

Time : 3 hours Max. Marks : 100

Unit I Commercial Banks-Functions, Kinds, Assets and Liabilities. Credit creation.
   Central Banking-nature and Functions with special reference to credit control.

Unit III Indian Banking System-Commercial Banks. Co-operative Banks regional
Rural Banks, RBI, SBI and its subsidiaries, Nationalised Banks, Lead Bank
Scheine.

Unit IV Relationship between Banker and Customer-Accounts of customers with
the Banker, Loans and Advances, Types of securities, cheques, The
paying Baker, The collecting Banker, Letter of Credit, Discounting of
Bills, Documentation.

Unit V Universal banking, E-banking, mobile banking and innovations in banking
sector.

Books Recommended:
4. Tannan: Law and Practice of Banking.
7. Panandikar : Banking in India.
8. Banking Commission Report (1972) and the Technical Studies prepared by
study Groups.

PAPER II: DEVELOPMENT BANKING

Time : 3 hours Max. Marks : 100

Unit I The concept of a Development Bank. Its Evolution, Objectives,
Organisation and Management of a Development Bank.

Functions of Development Banks-Scope and coverage, Schemes of
Assistance-Direct Assistance, Refinance Bills, rediscount Assistance-Direct
Assistance, Assistance to Join and Public sector, Encouragement to new
Entrepreneurs and technocrats, Export Finance, Subscription to shares and
Bond to Financial Institutions.

Unit II Sources of Funds-Share capital, borrowing from Governments Central
Banks and from the market by way of Bond issue. Repayment by
borrowers. Retained earnings Foreign borrowings

Unit III Promotional Activities of Development Banks-Sanctions and
Disbursement Policies, procedures and Techniques for Financial
Assistance Followed by Development Banks.

Unit IV A Detailed study of IFCI, IDBI, ICICI SFCs. SIDCs. Assistance to Small
Scale Industries.

Unit V Problems of terms. Lending in India. Need for simplification of lending
procedures, End use supervision and follow upon action by term lending
Instructions.

Books Recommended:
2. William Diamond: Development Banks.
5. Das, N.: Banking and Industrial Finance in India.
7. Annual Report of IFCI, IDBI, ICICI, SFCs, SIDCs etc.

PAPER III: INVESTMENT BANKING AND ACCOUNTS

Time: 3 hours
Max. Marks: 100

Investment Banking:

Unit I Concept of Investment Banking, History of Investment Banking. Types of Investment Banks. Function of Investment Banks. Banking Institutions securities.

Unit II Analysis, underwriting, Distribution and Miscellaneous services, sources of Funds, Techniques of Investment, Banking Organisation and Management of Investment Banking Institutions, Evolution and Development of Investment Banking in India, Unit trust of India.


Unit V Measuring Investment Performance-Port Performance and fund Performance.
A Brief study of Accounting Techniques of Investment Banking Institutions.
Investment Accounts.
Analysis of Published Accounts and Valuation of Shares.

Books Recommended:
5. Donald E. Vaughan: Survey of Investments.
PAPER IV: MARKETING SECURITIES

Time: 3 hours
Max. Marks: 100

Unit I

Unit II

Unit III

Unit IV

Unit V

Books Recommended:
1. Medda. ---: Capital Market In India.
4. Mehta, R.C : Capital Market in India for Planned Growth.
5. Gupta. R.C Working of Stock Exchange in India.

PAPER-V: PROJECT WORK AND VIVA VOCE

Project work of about 5,000 words based on six weeks practical training indicating the candidate own experience with regard to cases / problem approved for the purpose by the Head of the Department. The candidates project work, along with the certificates from the supervisor/teacher concerned will be required to submit before commencement of theory examination.

Project Work - 50 Marks

Viva-Voce - 50 Marks