University of Rajasthan
Jaipur

SYLLABUS

POST-GRADUATE DIPLOMA
IN
DEVELOPMENT & INVESTMENT BANKING

Examination 2019

Dy. Registrar (Acad.)
University of Rajasthan
JAIPUR
DEPARTMENT OF ECONOMIC ADMINISTRATION
AND FINANCIAL MANAGEMENT

POST-GRADUATE DIPLOMA IN DEVELOPMENT AND INVESTMENT
BANKING

Scheme of Examination

1. A Candidate must obtain for a pass at least 25% marks in each individual paper
   and the monograph and 36% marks in the aggregate. The classification of
   successful candidates will be as follows:
   First Division 60% of the aggregate marks
   Second Division 48%

   All the rest will be declared to have passed the examination. Every candidate
   shall be required to offer the following six papers and each paper of three
   hours duration carrying 100 marks.

2. There will be five questions in all. The candidates are required to attempt all
   the question. There will be one question from each unit with an internal choice
   (either/or).

3. Every candidate for the above diploma Examination shall be required to offer
   the following papers. Each theory paper shall be of 3 hours duration and carry
   100 marks.

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PAPER – I: THEORY AND PRACTICE OF BANKING

Time: 3 hours
Max. Marks: 100

Unit I Commercial Banks-Functions, Kinds, Assets and Liabilities. Credit
creation.
Central Banking-nature and Functions with special reference to credit
control.

Unit II Banking Legislation in India-Banking Regulation Act, 1949, Main
provisions. Its subsequent amendments. Application to Co-operative
Banks, Social control over Banks. The Banking Commission (1972)
Summary of the report and main recommendations.
Unit III  Indian Banking System-Commercial Banks. Co-operative Banks regional Rural Banks, RBI, SBI and its subsidiaries, Nationalised Banks, lead Bank Scheme.

Unit IV  Relationship between Banker and Customer-Accounts of customers with the Banker, Loans and Advances, Types of securities, cheques, The paying Baker, The collecting Banker, Letter of Credit, Discounting of Bills, Documentation.

Unit V  Universal banking, E-banking, mobile banking and innovations in banking sector.

Books Recommended:
2. Dabar, S.R: Law and Practice of Banking.
4. Tannan: Law and Practice of Banking.
7. Panandikar : Banking in India.

PAPER II: DEVELOPMENT BANKING

Time: 3 hours  Max. Marks: 100

Unit I  The concept of a Development Bank. Its Evolution, Objectives, Organisation and Management of a Development Bank.

Functions of Development Banks-Scope and coverage, Schemes of Assistance-Direct Assistance. Defiance Bills. rediscount Assistance-Direct Assistance, Assistance to Join and Public sector, Encouragement to new Entrepreneurs and technocrats, Export Finance, Subscription to shares and Bond to Financial Institutions.

Unit II  Sources of Funds-Share capital, borrowing from Governments Central Banks and from the market by way of Bond issue. Repayment by borrowers. Retained earnings Foreign borrowings


Unit IV  A Detailed study of IFCI, IDBI, ICICI SFCs. SIDCs. Assistance to Small Scale Industries.

Unit V  Problems of terms. Lending in India. Need for simplification of lending procedures, End use supervision and follow upon action by term lending Instructions.

Books Recommended:
2. William Diamond: Development Banks.
5. Das, N.: Banking and Industrial Finance in India.
7. Annual Report of IFCI, IDBI, ICICI, SFCs, SIDCs ETC.

PAPER III: INVESTMENT BANKING AND ACCOUNTS

Time: 3 hours
Max. Marks: 100

Investment Banking:

Unit I Concept of Investment Banking, History of Investment Banking. Types of Investment Banks. Function of Investment Banks. Banking Institutions securities.

Unit II Analysis, underwriting, Distribution and Miscellaneous services, sources of Funds, Techniques of Investment, Banking Organisation and Management of Investment Banking Institutions, Evolution and Development of Investment Banking in India, Unit trust of India.


Unit V Measuring Investment Performance-Port Performance and fund Performance.
A Brief study of Accounting Techniques of Investment Banking institutions.
Investment Accounts.
Analysis of Published Accounts and Valuation of Shares.

Books Recommended:
5. Donald E. Vaughn: Survey of Investments.
PAPER IV: MARKETING SECURITIES

Time: 3 hours
Max. Marks: 100

Unit I

Unit II

Unit III
Role of Stock exchange in Development with special reference to India.

Unit IV
Indian Stock Exchange Organised Markets for Securities, Canalization of savings.
Indian Stock Exchanges and the Investing Community.

Unit V
Role of Commercial Banks, Life Insurance and General Insurance.
Role of Stock Exchange and Government Securities in the Capital Market of India.

Books Recommended:
1. Medda. ---: Capital Market In India.
4. Mehta, R.C : Capital Market in India for Planned Growth.
5. Gupta, R.C Working of Stock Exchange in India.

PAPER-V : PROJECT WORK AND VIVA VOCE

Project work of about 5,000 words based on six weeks practical training indicating the candidate own experience with regard to cases / problem approved for the purpose by the Head of the Department. The candidates project work, along with the certificates from the supervisor/teacher concerned will be required to submit before commencement of theory examination.

Project Work - 50 Marks
Viva-Voce - 50 Marks